

JOHN A. LAHIVE, JR. (1928-1997) THOMAS V. SMURZYNSKI RALPH A. LOREN GIULIO A. DeCONTI, JR. ANN LAMPORT HAMMITTE ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO KEVIN J. CANNING JANE E. REMILLARD FAUSTINO A. LICHAUCO DAVID A. LANE, JR. JEANNE M. DIGIORGIO DEBRA J. MILASINCIC, Ph.D. NICHOLAS P. TRIANO, III TIMOTHY J. DOUROS WILLIAM D. DeVAUL

CATHERINE J. KARA, CHI SUK KIM DAVID J. RIKKERS

SENIOR COUNSEL W. HUGO LIEPMANN JAMES E. COCKFIELD

OF COUNSEL JEREMIAH LYNCH WILLIAM A. SCOFIELD, JR. PETER C. LAURO * JOHN L. WELCH Deann FORAN SMITH**

Admitted in NY only ** Admitted in MI only

MEGAN E. WILLIAMS, Ph.D. REZA MOLLAAGHABABA, Ph.D.

EUIHOON LEE

ERICK B. BERGLUND, Ph.D.

TECHNICAL SPECIALISTS MARIA LACCOTRIPE ZACHARAKIS, Ph.D. CYNTHIA M. SOROOS PETER W. DINI, Ph.D. JENNIFER L. ELLIOTT, Ph.D. LAUREN C. FOSTER, D.Sc.

December 29, 1999

TECH CENTER 1600/2500

Assistant Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, DC 20231

Re:

U.S. Patent Application Serial No.09/425,516

Novel CTLA4/CD28 Ligands And Uses Therefor

Inventors: Gordon J. Freeman, Lee Nadler and Gary S. Gray

Filing Date: October 22, 1999

Attorney Docket No.: RPI-004C3CN

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

Request for Correction of Filing Receipt; and 1.

A copy of the Official Filing Receipt with the changes noted thereon. 2.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

December 29, 1999

Megan E. Williams, Ph.D., Reg. No.43,270

Date

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Megan E. Williams, Ph.D.

Reg. No. 43,270

MEW/bsc **Enclosures**



Attorney Docket No.: RPI-004C3CN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gordon J. Freeman, Lee Nadler and Gary S. Gray

Serial No.: 09/425,516

Filing Date: October 22, 1999

Title: Novel CTLA4/CD28 Ligands And Uses Therefor

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application has incorrectly listed the number of total claims as "8", it should read "6". The total number of independent claims was listed as "3", it should read "2". Also the listed continuation data is incomplete. It should read "This application is a continuation of USSN 08/479,744 filed June 7, 1995, which is a continuation-in-part of USSN 08/280,757 filed July 26, 1994, which is a continuation-in-part of USSN 08/109,393 filed August 19, 1993, which is a continuation-in-part of USSN 08/101,624 filed July 26, 1993, which is a continuation-in-part of 08/147,773 filed November 3, 1993. Additionally, the filing receipt has incorrectly spelled one of the inventors name. The inventor's name is "Gary S. Gray". Applicant requests the appropriate corrections.

Serial No.: 09/425,516

A copy of the Filing Receipt with the corrections noted thereon is submitted herewith.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

December 29, 1999

Date

Megan E. Williams, Ph.D., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Megan E. Williams, Ph.D.

Reg. No. 43,270 28 State Street

Boston, MA 02109

Tel. (617) 227-7400

Dated: December 29, 1999

PTO-103X.F (Rev. 6-99)

FILING RECEIPT



UNITED STATE: EPARTMENT OF COMMERCE Pat nt and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|-----------|
| 09/425,516 | 10/22/99 | 1646 | \$760.00 | RPI-004C3CN | 29 | 8 | <u>\$</u> |
| | | | | | | | |

000959 LAHIVE & COCKFIELD 28 STATE STREET BOSTON MA 02109

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

GORDON J. FREEMAN, BROOKLINE, MA; LEE M. NADLER, NEWTON, MA; GRAY S. GRAY, BROOKLINE, MA. G + R Y

CONTINUING DATA AS CLAIMED BY APPLICANT-THIS APPLN IS A CON OF 08/479,744 06/07/95

FOREIGN APPLICATIONS- PCT

PCT/US94/08423 07/26/94

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/16/99 TITLE

NOVEL CTLA4/CD28 LIGANDS AND USES THEREFOR

PRELIMINARY CLASS: 530

Which is a continuation-in-part of USSN 08/280,757, filed 7/26/94, which is a continuation-in-part of USSN 08/109,393, filed 9/19/93, which is a continuation-in-part of USSN 08/101,624, filed 7/26/93, which is a continuation-in-part of USSN 08/147,773, filed 11/3/93

NUV 2 2 1999

Retrieved | NFB Entered

DATA ENTRY BY: MARTIN, DIANE

TEAM: 04 DATE: 11/16/99

(See reverse for new important information)